



# **Safety management intervention among small metal fabrication businesses: The National Machine Guarding Program**

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# Background: Injuries in Metal Fabrication

	Rate per 10,000 workers	
	Metal fabrication	Private industry
Lost-time injuries	116.3	93.9
Amputations	3.7	0.6
Eye	7.0	2.1
Upper extremities	53.8	30.6

2015 rate data for NAICS 332 and all private industry from: U.S. Dept. of Labor, Bureau of Labor Statistics. *Occupational Injuries/Illnesses and Fatal Injuries Profiles*. <http://data.bls.gov/gqt>; Accessed 08/29/2017.

# Background

- Small manufacturing businesses often lack access to occupational safety and health (OSH) expertise.
- Development of effective OSH interventions widely applicable to smaller firms remains a persistent challenge.



# National Machine Guarding Program

- Safety intervention among small (3 -150 employees) metal fabrication businesses.
- Scale-up of methods applied in a regional study: Minnesota Machine Guarding Study.
- Workers' compensation insurers were study partners.
- Insurance safety consultants are trained as study field staff.

# Criteria for Participation

- 3-150 employees
- Workers' compensation coverage with one of our two partners
- In business for at least one year
- Engaged in metal fabrication for 75% or more of business
- Only one site per business entity



# Business safety evaluation

- Checklist evaluation of 12 randomly selected machines.
- Completion of a shop evaluation checklist.
  - Business Report, safety scores, and Business Action Plan.
- Business safety evaluation conducted at baseline and again at follow-up.



# Key outcomes assessed

- Machine safeguarding practices
- Lockout/tagout
- Safety management programs



# Partial checklist

## CNC Lathe Safety Evaluation Checklist

Business Name: \_\_\_\_\_ Today's Date: \_\_\_\_\_

Machine Tag #: \_\_\_\_\_ Manufacturer: \_\_\_\_\_ Year of Manufacture: \_\_\_\_\_

Yes	No	N/A	
			<b>Point of operation -- Completely enclosed</b>
			Is the point of operation completely enclosed?
			If "Yes" -- Is each door and access portal equipped with an interlock? (Ask operator)
			<b>Point of operation – Not completely enclosed</b>
			Is a chip and coolant shield in place?
			Is shield free from cracks and in good condition?
			Is a work-holding device (chuck) shield in place?
			Is shield free from cracks and in good condition?
			Is the chuck guarded?
			<b>Bar feed</b>
			Are safeguards in place to enclose location where bar stock is fed in to the machine?
			Is entire length of rotating bar stock enclosed?
			<b>Chip removal system</b>
			Is chip removal system enclosed?
			If there is a chip conveyor, is there a separate set of controls for the conveyor?
			<b>Guards, general</b>
			Are guards free from cracks and in good condition?
			<b>Power transmission guard</b>
			Are all moving parts below 7 ft. guarded?
			Is guard free from cracks and in good condition?
			<b>Operational controls</b>
			Are all controls legibly marked?
			Are controls accessible without reaching over rotating/dangerous parts?
			Are safeguards in place to prevent unintended activation of controls?





# Intervention programs

Safety  
Leadership

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graph TD; A[Safety Leadership] --> B[Job Hazard Analysis]; A --> C[Machine Safeguarding]; A --> D[Lockout/Tagout]
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Job Hazard  
Analysis

Machine  
Safeguarding

Lockout/Tagout



# Intervention timeline

- Four on-site visits at each site:
  - Baseline evaluation
  - First intervention at 3 months
  - Second intervention at 6 months
  - Follow-up evaluation at 12 months

# Business characteristics for 160 shops completing the intervention

Number of states	31
Number of employees	
3-10	44
11-29	65
30-49	22
50-150	29
Mean number of employees	29
Number and percent with a safety committee	55 (34%)



# Business-level machine audit scores: Baseline and 12-month follow-up

	Baseline	12-nonth follow-up	Change	
Evaluation measure	Mean %	Mean %	Percentage point change	p-value
Business-level machine score	73	79	6	<0.0001
Equipment safeguards	81	83	2	<0.0001
Lockable disconnects	88	92	4	<0.0001
LOTO procedures	8	33	25	<0.0001
Electrical	92	95	3	<0.0001

# Pre/post intervention safety management audit scores

Number of employees	N	Intervention status	Safety leadership	Machine maintenance	LOTO**	Overall safety management score
			Mean %	Mean %	Mean %	Mean %
All shops	160	Pre	58	43	55	43
		Post	73	58	76	59
Percentage point increase			15	15	21	16
p-value			<0.0001	<0.0001	<0.0001	<0.0001



## Pre/post intervention safety management scores for shops that maintained (n = 51), established (n =42), or did not establish a safety committee (n = 63)\*

Safety committee status pre/post	Pre-intervention		Post-intervention		P-value for change in mean pre/post scores	Pre/post change in percentage points (SD)	p-value ***
	Mean %	SD	Mean %	SD			
	Overall safety management audit**						
Yes to yes	55	19	74	15	<0.0001		
No to yes	44	19	68	19	<0.0001	24 (21)	0.000
No to no	33	18	42	20	<0.0001	9 (14)	2

\* Excludes 4 shops that went from having to not having a safety committee

\*\* Excludes checklist item concerning presence of safety committee.

\*\*\* Comparison of groups "no to yes" versus "no to no".

**Pre/post intervention LOTO scores for shops that maintained (n = 51), established (n =42), or did not establish a safety committee (n = 63)\***

Safety committee status pre/post	Pre-intervention		Post-intervention		P-value for change in mean pre/post scores	Pre/post change in percentage points (SD)	p-value **
	Mean %	SD	Mean %	SD			
	LOTO						
Yes to yes	72	28	89	18	<0.0001		
No to yes	54	39	87	26	<0.0001	33 (39)	0.06
No to no	41	38	59	39	0.0006	18 (41)	

\* Excludes 4 shops that went from having to not having a safety committee

\*\* Comparison of groups "no to yes" versus "no to no".

# Regression

- *Safety leadership, LOTO, and machine maintenance* scores were combined into a summary measure and entered into a stepwise regression model with *business-level machine score* as the dependent variable.
- The *business-level machine score* increased by 0.14% for each percent increase in the summary measure.





# Discussion

- Businesses in all size ranges made large improvements in LOTO procedures and LOTO program scores, and improved significantly for lockable disconnects.
- Adding a safety committee was correlated with larger improvements regardless of shop size.
- When controlling for safety committee status, magnitude of change was not significantly related to shop size.
- Our partners were great but the intervention was not sustainable!



# Some of our publications

- [Findings From the National Machine Guarding Program: Safety Climate, Hazard Assessment, and Safety Leadership in Small Metal Fabrication Businesses.](#) J Occup Environ Med. 2017 Sep 1
- [Self-audit of lockout/tagout in manufacturing workplaces: A pilot study.](#) Am J Ind Med. 2017 May;60(5):504-509.
- [Findings From the National Machine Guarding Program-A Small Business Intervention: Machine Safety.](#) J Occup Environ Med. 2016 Sep;58(9):885-91.
- [Analysis of workers' compensation claims data for machine-related injuries in metal fabrication businesses.](#) Am J Ind Med. 2016 Aug;59(8):656-64.
- [Findings From the National Machine Guarding Program: A Small Business Intervention: Lockout/Tagout.](#) J Occup Environ Med. 2016 Jan;58(1):61-8.
- [National Machine Guarding Program: Part 2. Safety management in small metal fabrication enterprises.](#) Am J Ind Med. 2015 Nov;58(11):1184-93.
- [National Machine Guarding Program: Part 1. Machine safeguarding practices in small metal fabrication businesses.](#) Am J Ind Med. 2015 Nov;58(11):1174-83.