

UNDERSTANDING HISTORICAL TRAUMA AMONG URBAN INDIGENOUS ADULTS AT RISK FOR DIABETES

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Abstract: Historical trauma has been posited as a key framework for conceptualizing and addressing health equity in Indigenous populations. Using a community-based participatory approach, this study aimed to examine historical trauma and key psycho-social correlates among urban Indigenous adults at risk for diabetes to inform diabetes and other chronic disease prevention strategies. Indigenous adult participants (n=207) were recruited from an urban area in California and were asked to identify whether their Indigenous heritage was from a group in the United States, Canada, or Latin America. Historical trauma was assessed using the Historical Loss (HLS) and Historical Loss Associated Symptoms (HLAS) scales. Nearly half (49%) of Indigenous participants from the United States or Canada endorsed thinking about one or more historical losses weekly, daily, or several times a day, compared to 32% for Indigenous participants from Mexico, Central America, and South America. Most participants (62%) reported experiencing one or more historical loss-associated symptoms, such as depression and anger, sometimes, often, or always. Ancestry from the United States or Canada, depression, and participation in cultural activities were associated with greater HLS and HLAS scores, indicating a greater number of losses and associated symptoms. Results suggest a need to consider historical trauma when designing diabetes prevention interventions and the need to further consider ancestry differences. As preventive efforts for Indigenous adults expand in urban environments, behavioral interventions must incorporate strategies that address community-identified barriers in order to succeed.

INTRODUCTION

American Indian, Alaska Native (AI/AN), and Indigenous communities have the highest prevalence of diabetes among all racial and ethnic groups in the United States (Centers for Disease

Control and Prevention [CDC], 2017). In 2018, the prevalence of type 2 diabetes was two times greater in AI/AN adults relative to non-Hispanic White adults (CDC, 2020). In addition to a higher prevalence, diabetes is the leading cause of blindness and lower-extremity amputation among AI/AN adults, for whom these complications appear at an earlier age (McLaughlin, 2010). Although interventions that encourage moderate weight loss and physical activity mitigate the onset of diabetes and are effective across multiple racial/ethnic groups (Knowler et al., 2002), including some reservation settings (Jiang et al., 2013), there remains a dearth of research demonstrating the successful translation of diabetes prevention efforts among Indigenous adults, including for those residing in urban areas. Thus, interventions and health promotion efforts that are specifically tailored for this population are crucial.

Genuine and bidirectional partnership with Indigenous community members and organizations is critical for understanding barriers to effective diabetes prevention and informing interventions. A community-university partnership, known as *Pathways to American Indian and Alaska Native Wellness*, came together to investigate successful models for diabetes prevention in a northern California urban Indigenous community. The community is an area where many Indigenous people relocated from reservation communities as a result of the 1950's Relocation Act (Ablon, 1964). Over years of planning and implementing their Diabetes Prevention Program (DPP) intervention, the community partner identified historical trauma as a key underlying challenge to successful diabetes prevention efforts and a construct requiring additional understanding in order to better implement DPP interventions within community and primary care settings (Rosas et al., 2016).

Historical trauma—the cumulative and transgenerational experience of group-wide trauma manifesting as emotional and psychosocial distress among Indigenous people (Brave Heart & DeBruyn, 1998; Whitbeck et al., 2004)—has been posited as a potential pathway explaining high prevalence of chronic conditions among Indigenous populations (Evans-Campbell, 2008; Walters, Beltran et al., 2011). Historical traumatic experiences—including starvation, disease, forced relocation and displacement, boarding school reeducation and assimilation, and culturally-restrictive policies—are hypothesized to be intergenerationally re-experienced as historical trauma and manifest as associated biopsychosocial symptoms such as post-traumatic stress (e.g., anger, numbing), depression, anxiety, sleep loss, and feelings of social isolation (Whitbeck et al., 2004; Whitbeck et al., 2009). Scholars have linked historical trauma to epigenetic changes contributing to neurobiological changes, which along with proximal

stressors, place individuals at higher risk for cardiovascular diseases, immune response dysfunction, and other physical and mental health concerns (Walters, Beltran et al., 2011; Walters, Mohammed et al., 2011). Stress responses have also been linked to deleterious coping behaviors (e.g., eating, drinking, smoking) and lack of engagement in healthy behaviors (Pascoe & Smart Richman, 2009). The confluence of these factors may not only increase the risk of developing chronic conditions, including diabetes, but may also impact individuals' abilities to engage in prevention programs.

Little is known about how and to what degree urban Indigenous communities experience historical trauma. With approximately 70% of Indigenous individuals in the United States residing in urban areas (Indian Health Service, 2018), there exists an urgent need to understand how Indigenous adults at risk for diabetes may experience historical trauma. The objective of this study was therefore to examine experiences of historical trauma and key psycho-social correlates among urban Indigenous adults at risk for diabetes to inform diabetes and other chronic disease prevention strategies for this population.

METHODS

Study Design and Participants

Data for this analysis were collected from 2014 to 2016 using a baseline assessment conducted prior to participation in a randomized comparative effectiveness trial of a standard DPP and a culturally enhanced DPP for urban Indigenous adults in northern California. The trial design and outcomes have been previously described (Rosas et al., 2016; 2020). Study participants ($n = 207$) were recruited from Santa Clara County, CA. Sample size was determined based on having 80% power to detect significant differences in the primary outcome of body mass index (BMI) change between study groups of the trial. From the overall baseline sample ($n = 207$), two individuals were excluded due to concerns for staff safety. Another 12 participants did not complete questionnaires of interest for the present study (i.e., HLS, HLAS), culminating in a sample size of 193 participants. All study procedures were performed in accordance with the 1964 Helsinki declaration and its later amendments and approved by the Institutional Review Boards of Stanford University School of Medicine (IRB #30015). All participants provided written informed consent. Given the diversity among our participants in terms of ancestry, with a large proportion identifying as having Mexican, South, or Central American Indigenous descent, we chose

“Indigenous” rather than “AI/AN” as the main terminology for this manuscript. We continue to use AI/AN when citing specific findings from the literature to accurately depict the samples being described by other authors.

Eligibility Criteria

Inclusion criteria included a BMI between 30 and 55 kg/m², no type 2 diabetes diagnosis, and one or more criteria for metabolic syndrome (triglycerides >150 mg/dL, high-density lipoprotein cholesterol <40 mg/dL among men and <50 mg/dL among women, blood pressure >130/80 mmHg or current anti-hypertensive treatment, and fasting glucose 100–125 mg/dL). Participants self-reported their Indigenous ancestry. Multiple allowed responses included Indigenous to the United States or Canada; Indigenous to Mexico, South, or Central America (Latin America hereafter); and Indigenous ancestry from two or more of following regions: North or Latin America, Caribbean, and Pacific Islands. Exclusion criteria included taking atypical antipsychotics or multiple medications, certain comorbidities (e.g., heart failure, unstable metabolic disorders, and substance abuse), pregnancy, and plans to relocate during the study. Exclusions were in place for participants’ safety (e.g., pregnancy and unstable medical conditions) and to ensure retention in the larger study (e.g., relocation).

Community Engagement

This study was led by a community-university partnership between Stanford University School of Medicine and Pathways to American Indian and Alaska Native Wellness. The American Indian Community Action Board served as the partnership governing body and consisted of leaders from the urban Indigenous community. The American Indian Community Action Board was involved in all phases of the research process, including study design; intervention development and delivery; and data collection, analysis, and interpretation.

Measures

Outcome Measures

Trained staff collected data using an interviewer-administered questionnaire. The Historical Loss Scale (HLS) and the Historical Loss Associated Symptoms Scale (HLAS) developed by Whitbeck et al. (2004) were used to assess historical trauma. These measures assess both losses due to historical trauma (e.g., loss of land, language, traditional ways, loss of family

ties because of boarding schools), as well as symptoms related to these losses (e.g., sadness, anger). Specific losses and symptoms were developed in collaboration with Indigenous elders and tribal advisory boards (Whitbeck et al., 2004). Participants who self-identified as Indigenous to the United States or First Nations of Canada were administered the standard HLS. Participants who self-identified as Indigenous to Latin America completed a modified version of the HLS developed by Brave Heart and colleagues (2011), which reflects differences in historical experiences. For example, for Indigenous participants from Latin America, loss of land was assessed with items indicating loss due to the Spanish colonization, immigration or migration, and/or due to being a refugee. The standard (13 items) and modified (21 items) HLS were scored on a scale of one to six (“never” = 1, “several times a day” = 6), and items on the HLAS (17 items) were scored on a scale of one to five (“never” = 1, “always” = 5). Mean HLS and HLAS scores were calculated by summing scores and dividing by the total number of items. Higher scores indicated participants thought more frequently about a given historical loss or more commonly experienced a symptom associated with historical loss.

Covariates

Socioeconomic variables included education (less than high school, high school graduate, some college, and college graduate or more) and income (0-200% Federal Poverty Level [FPL], 200-400% FPL, and >400% FPL). Healthy and unhealthy dietary scores were summed and divided into tertiles using a food frequency questionnaire (FFQ; Teufel-Shone et al., 2015). Greater scores corresponded to increased consumption. Physical activity was assessed via the Women’s Health Initiative (WHI) physical activity scale (Meyer et al., 2009) and categorized as 500-1,000 MET-minutes/week, <500 MET-minutes/week, and >1,000 MET-minutes/week (Physical Activity Guidelines Advisory Committee, 2018). Items on this measure are gender neutral (e.g., “Think about the walking you do outside the home. How often do you walk outside the home for more than 10 minutes without stopping?”), and the measure allows for collecting physical activities according to intensity, which reduces time of completion and hence participant burden. Moreover, prior studies using the WHI physical activity scale have included Indigenous samples (McTiernan et al., 2003; Meyer et al., 2009; Stefanick et al., 2021). Participants were categorized as high-risk or normal-risk drinkers using the Alcohol Use Disorders Identification Test (AUDIT-C) scale (Bush et al., 1998). For smoking, participants were categorized as smokers or non-smokers using the question, “Do you smoke cigarettes every day, some days, or not at all?” (Nguyen & Zhu,

2009). Depressive symptoms were evaluated using the Center for Epidemiologic Studies Depression (CES-D) scale (Knight et al., 1997). Based on the measure recommended cut-off of 16 points, individuals were classified as having low or high risk of depression. Food security was evaluated using the Six-Item Short Form of the US Household Food Security Survey (Blumberg et al., 1999).

Participants self-reported participation in cultural (e.g., cooking traditional foods, attended powwow/gathering/big time, participated in talking/healing circle) and spiritual activities (e.g., participated in a sweat, ceremonial feasts, smudged, or saged) within the last 12 months (Peterson, 2006). A modified Multigroup Ethnic Identity Measure (MEIM) was used to assess individuals' sense of identification and belonging with their ethnic group (Phinney, 1992). Higher scores corresponded with a stronger sense of identification and belonging with Indigenous identity. Indigenous identity scores were classified into tertiles.

Statistical Analyses

Statistical analyses were performed using SAS Enterprise Guide 6.1. Sample characteristics were summarized using percentages, means, and standard deviations. Data were imputed when no more than 20% of the data necessary to score a scale were missing. HLS and HLAS responses were summarized using percentage frequencies, replicating the approach by Whitbeck and colleagues (2004). Responses to the HLS were grouped into categories based on the frequencies with which participants thought about each historical loss: (1) never, yearly, or only during special times; (2) monthly; and (3) weekly, daily, or several times a day. Correlations were examined between HLS and HLAS scores. Using conditional formatting features in Excel, heat maps were created to graphically depict correlations. Associations between participant characteristics and HLS and HLAS scale scores were examined using the Wilcoxon Rank Sum and Kruskal-Wallis tests. Predictors with statistically significant bivariate associations with HLS and HLAS scores were examined using multivariable logistic regression. Average HLS and HLAS scores were classified as >2 or ≤ 2 . HLS scores >2 corresponded with thinking about historical losses monthly or more frequently, on average. HLAS scores >2 corresponded with experiencing historical loss associated symptoms sometimes, often, or always, on average.

RESULTS

Baseline Characteristics

Participants were predominantly middle-aged (53%), Indigenous to the United States or Canada (65%), and Hispanic/Latinx (57%; Table 1). Participants with a high school education or less comprised 35% of the sample. Approximately one-third of participants (33%) reported depressive symptoms consistent with risk for clinical depression. Most participants engaged in spiritual and cultural activities, with 81% and 52% reporting participation in one or more cultural or spiritual activities, respectively, in the last year.

Table 1
Participant sociodemographic characteristics by ancestry

| | All <i>n</i> = 193 | US/Canada <i>n</i> = 126 | Latin America <i>n</i> = 67 | <i>p</i> value |
|--|-----------------------|-----------------------------|--------------------------------|----------------|
| Demographic characteristics | | | | |
| Age | | | | 0.43 |
| 18 - 39 | 20.7 | 18.2 | 25.4 | |
| 40 - 59 | 52.9 | 53.2 | 52.2 | |
| 60+ | 26.4 | 28.6 | 22.4 | |
| Female | 79.3 | 79.4 | 79.1 | 0.97 |
| Household income ¹ | | | | 0.20 |
| 0 - 200% FPL | 59.4 | 56.1 | 65.6 | |
| 200% - 400% FPL | 26.7 | 30.9 | 18.6 | |
| 400% +FPL | 13.9 | 13.0 | 15.6 | |
| Education | | | | 0.051 |
| <High school | 14.0 | 9.5 | 22.4 | |
| High school graduate | 20.7 | 19.1 | 23.9 | |
| Some college | 44.0 | 48.4 | 35.8 | |
| College or more | 21.2 | 23.0 | 17.9 | |
| Ethnicity | | | | <.001 |
| Hispanic/Latino/x | 56.5 | 34.1 | 98.5 | |
| Non-Hispanic/Non-Latino/x | 43.5 | 65.9 | 1.5 | |
| Behavioral & psychosocial characteristics | | | | |
| Alcohol | | | | 0.98 |
| High-risk drinker | 15.0 | 15.1 | 14.9 | |
| Normal-risk drinker | 85.0 | 84.9 | 85.1 | |
| Smoking | | | | 0.10 |
| Non-smoker | 88.9 | 86.2 | 94.0 | |
| Smoker | 11.1 | 13.8 | 6.0 | |
| Healthy food score ² | | | | 0.30 |
| First tertile (9-21) | 34.7 | 37.3 | 29.9 | |
| Second tertile (22-26) | 43.0 | 43.7 | 41.8 | |
| Third tertile (27-32) | 22.3 | 19.0 | 28.4 | |

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Table 1 Continued
Participant sociodemographic characteristics by ancestry

| | All <i>n</i> = 193 | US/Canada <i>n</i> = 126 | Latin America <i>n</i> = 67 | <i>p</i> value |
|--|-----------------------|-----------------------------|--------------------------------|----------------|
| Unhealthy food score ² | | | | 0.87 |
| First tertile (14-31) | 33.2 | 32.5 | 34.3 | |
| Second tertile (32-39) | 38.3 | 39.7 | 35.8 | |
| Third tertile (40-58) | 28.5 | 27.8 | 29.9 | |
| Physical Activity ³ | | | | 0.70 |
| <500 MET-minutes/week | 28.6 | 27.5 | 30.6 | |
| 500 - 1000 MET-minutes/week | 20.3 | 19.2 | 22.6 | |
| >1000 MET-minutes/week | 51.1 | 53.3 | 46.8 | |
| Depression symptomatology (CES-D) | | | | 0.48 |
| High depression risk | 33.2 | 34.9 | 29.9 | |
| Low depression risk | 66.8 | 65.1 | 70.1 | |
| Food Security | | | | 0.12 |
| Very low security | 16.6 | 18.8 | 10.4 | |
| Low security | 25.4 | 27.0 | 22.4 | |
| High security | 58.0 | 53.2 | 67.2 | |
| Cultural activity participation (per year) | | | | 0.63 |
| None | 19.2 | 20.6 | 16.4 | |
| One | 16.1 | 17.5 | 13.4 | |
| Two | 18.6 | 19.0 | 17.9 | |
| Three or more | 46.1 | 42.9 | 52.2 | |
| Spiritual activity participation (per year) | | | | 0.70 |
| None | 47.7 | 47.6 | 47.8 | |
| One | 16.6 | 15.1 | 19.4 | |
| Two | 14.0 | 15.9 | 10.5 | |
| Three or more | 21.8 | 21.4 | 22.4 | |
| Indigenous identity score ⁴ , mean (sd) | 36.8 (6.0) | 37.0 (5.9) | 36.3 (6.0) | 0.46 |
| Historical loss score, mean (sd) | 2.1 (1.0) | 2.3 (1.1) | 1.7 (0.7) | <.001 |
| Historical loss symptoms score, mean (sd) | 1.7 (0.7) | 1.8 (0.7) | 1.4 (0.5) | <.001 |

Note. Percentages are displayed unless otherwise specified.

¹Household Income was classified using poverty level (FPL) guidelines. ²The food frequency questionnaire incorporated culturally-relevant foods, and items were scored on a scale of 1 – 6, with 6 corresponding to greater consumption. “Healthy” foods are those recommended for increased intake (e.g., leafy greens), and “unhealthy” foods are those recommended for decreased intake (e.g., soft drinks). ³Physical activity categories reflect recommendations by the Physical Activity Guidelines Advisory Committee. ⁴The Multigroup Ethnic Identity Measure (MEIM) was modified to eleven items with five possible responses ranging from “strongly disagree” to “strongly agree.”

Historical Loss and Historical Loss Associated Symptoms

The average HLS score was 2.1 (*SD* = 1.0), and the mean HLAS score was 1.7 (*SD* = 0.7), see Table 1. Full data on all items of the HLS and HLAS is provided in the Appendix. Among participants Indigenous to the United States or Canada, 49% thought about one or more historical losses weekly, daily, or several times a day. The top five historical losses Indigenous persons from

the United States or Canada thought about at least weekly were as follows: “The losses from the effects of drugs on our people” (31%), “The losses from the effects of alcoholism on our people” (29%), “Loss of respect by our children and grandchildren for elders” (29%), “Losing our culture” (24%), and “Loss of respect by our children for traditional ways” (23%).

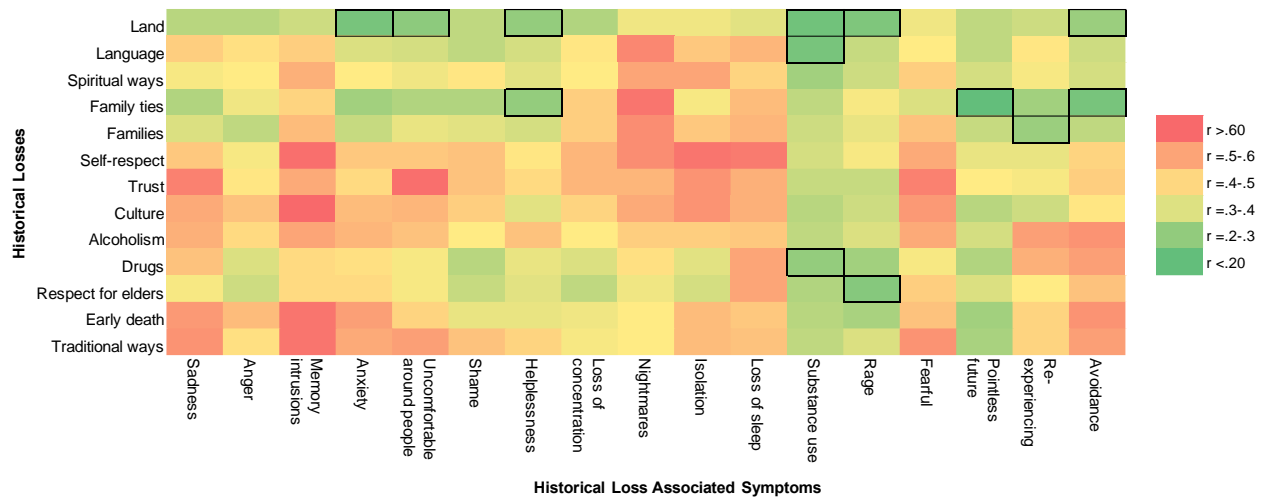
For Indigenous participants from Latin America, 32% thought about at least one historical loss weekly, daily, or several times a day. The top five historical losses thought about at least weekly included, “Loss of respect by our children and grandchildren for elders” (20%), “Loss of respect by our children for traditional ways” (19%), “The loss of our land due to the Spanish conquest or colonization” (14%), “The losses from the effects of alcoholism on our people” (12%), and “The losses from the effects of drugs on our people” (12%).

Most participants (62%) experienced one or more historical loss associated symptoms sometimes, often, or always. The most frequently experienced historical loss associated symptoms were “sadness or depression” (45%), “anger” (38%), “remembering these losses when you don’t want to” (30%), “anxiety or nervousness” (29%), and “weakness or helplessness” (27%).

Figures 1 and 2 graphically depict correlations between HLS and HLAS scores for both ancestry groups. For the US/Canadian ancestry group (Figure 1), losses related to trust, self-respect, traditional ways, and the impacts of alcoholism had highest correlations with the HLAS. HLAS items most strongly associated with historical losses included memory intrusions, nightmares, loss of sleep, and feeling fearful. For participants with Latin American ancestry (Figure 2), losses related to trust, alcoholism, and self-respect (due to immigration or migration) had the highest correlations with HLAS items. Other items with high correlations include losses related to early death, drugs, and loss of culture. HLAS items most strongly associated with historical losses in this group included, in order of decreasing frequency, memory intrusions, feeling anger, and sadness.

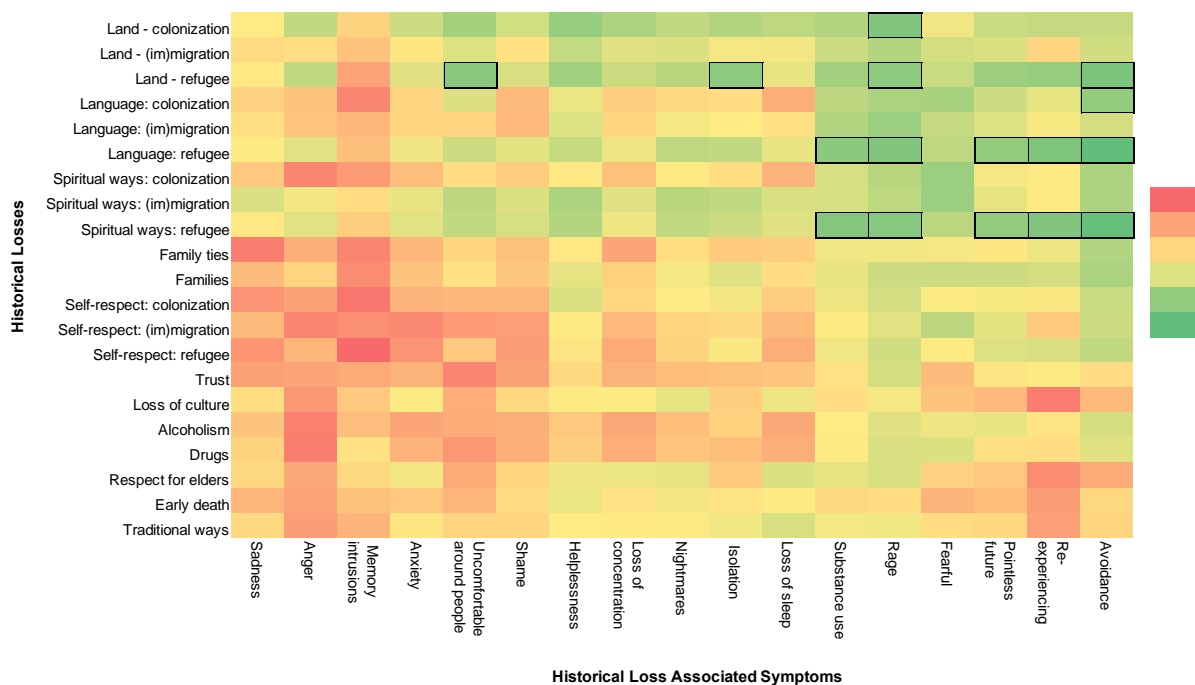
In bivariate analyses, Indigenous ancestry from the United States or Canada ($p < .001$), depression ($< .001$), greater participation in cultural ($p < .001$) and spiritual ($p < .01$) activities, and stronger identification with Indigenous identity ($p < 0.001$) were associated with greater average HLS scores (Table 2). An inverse association was observed between food security and average HLS ($p < .05$) and HLAS ($p < .01$) scores. Similarly, US or Canadian Indigenous ancestry ($p < .01$), depression ($p < .001$), greater participation in cultural ($p < .001$) and spiritual ($p < .05$) activities, and stronger Indigenous identity ($p < .01$) were associated with greater average HLAS scores.

Figure 1. Associations between historical losses and historical loss associated symptoms for Indigenous participants with US/Canadian ancestry



Note. Cells with a border indicate non-significant correlations. All other correlations were significant at least at the $p < .05$ level.

Figure 2. Associations between historical losses and historical loss associated symptoms for Indigenous participants with Latin American ancestry



Note. Cells with a border indicate non-significant correlations. All other correlations were significant at least at the $p < .05$ level.

Table 2
Median average historical loss and historical loss associated symptom scores, associations with participant characteristics, and multivariable logistic regression results

| | Historical Loss Scale | | | | Historical Loss Associated Symptoms | | | |
|--|-----------------------|-----------|-------------------------|---------------------|-------------------------------------|-------|--------------------------|---------------------|
| | | | Odds of HLS Score >2 | | | | Odds of HLAS Score >2 | |
| | Median (IQR) | $p^{1,2}$ | OR | 95% CI ² | Median (IQR) | p | OR | 95% CI ² |
| Demographic characteristics | | | | | | | | |
| Gender | | 0.89 | N/A | | | 0.48 | N/A | |
| Female | 2.0 (1.6) | | | | 1.5 (0.9) | | | |
| Male | 2.0 (1.6) | | | | 1.4 (0.9) | | | |
| Age | | 0.39 | N/A | | | 0.70 | N/A | |
| 18 - 39 | 2.1 (1.5) | | | | 1.5 (1.0) | | | |
| 40 - 59 | 2.1 (1.5) | | | | 1.5 (0.9) | | | |
| 60+ | 1.9 (1.3) | | | | 1.4 (1.1) | | | |
| Household income ³ | | 0.62 | N/A | | | 0.79 | N/A | |
| 0 - 200% FPL | 2.1 (1.7) | | | | 1.4 (0.9) | | | |
| 200% - 400% FPL | 2.0 (1.0) | | | | 1.6 (0.9) | | | |
| 400%+FPL | 2.0 (1.3) | | | | 1.6 (0.9) | | | |
| Education | | 0.49 | N/A | | | 0.73 | N/A | |
| <High school | 1.7 (1.9) | | | | 1.4 (0.7) | | | |
| High school graduate | 1.9 (1.5) | | | | 1.5 (1.0) | | | |
| Some college | 2.1 (1.5) | | | | 1.5 (1.0) | | | |
| College or more | 2.0 (1.5) | | | | 1.6 (0.9) | | | |
| Ancestry | | <0.001 | | | | 0.002 | | |
| US, Canada | 2.2 (1.5) | | 2.88 | (1.40 – 5.95) | 1.6 (1.1) | | 3.82 | (1.43 – 12.9) |
| MX, CA, SA | 1.4 (1.1) | | Ref | | 1.4 (1.0) | | Ref | |
| Behavioral & psychosocial characteristics | | | | | | | | |
| Alcohol | | 0.95 | N/A | | | 0.52 | N/A | |
| High-risk drinker | 2.2 (1.5) | | | | 1.7 (1.1) | | | |
| Normal-risk drinker | 2.0 (1.5) | | | | 1.5 (0.9) | | | |
| Smoking | | 0.12 | N/A | | | 0.65 | N/A | |
| Non-smoker | 2.0 (1.5) | | | | 1.5 (1.0) | | | |
| Smoker | 2.3 (1.6) | | | | 1.3 (1.6) | | | |
| Physical activity (MET-minutes/week) | | 0.14 | N/A | | | 0.39 | N/A | |
| <500 | 1.8 (1.3) | | | | 1.4 (0.9) | | | |
| 500 - 1000 | 1.8 (1.1) | | | | 1.3 (1.1) | | | |
| >1000 | 2.1 (1.6) | | | | 1.6 (0.9) | | | |
| Healthy food score | | 0.35 | N/A | | | 0.06 | | |
| First tertile (9-21) | 1.9 (1.6) | | | | 1.5 (0.9) | | | |
| Second tertile (22-26) | 2.1 (1.6) | | | | 1.6 (1.0) | | | |
| Third tertile (27-32) | 1.8 (1.1) | | | | 1.4 (0.9) | | | |
| Unhealthy food score | | 0.15 | N/A | | | 0.28 | | |
| First Tertile (14-31) | 1.7 (1.4) | | | | 1.4 (0.9) | | | |
| Second Tertile (32-39) | 2.0 (1.7) | | | | 1.5 (1.0) | | | |
| Third Tertile (40-58) | 2.1 (1.3) | | | | 1.6 (0.9) | | | |

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Table 2 Continued
Median average historical loss and historical loss associated symptom scores, associations with participant characteristics, and multivariable logistic regression results

| | Historical Loss Scale | | | | Historical Loss Associated Symptoms | | | |
|---|-------------------------|-------------------------|------|---------------------|-------------------------------------|----------|------|---------------------|
| | Odds of HLS Score >2 | | | | Odds of HLAS Score >2 | | | |
| | Median (IQR) | <i>p</i> ^{1,2} | OR | 95% CI ² | Median (IQR) | <i>p</i> | OR | 95% CI ² |
| Depression (CES-D) | | <0.001 | | | | <0.001 | | |
| Low depression risk | 1.8 (1.2) | | Ref | | 1.4 (0.8) | | Ref | |
| High depression risk | 2.5 (1.2) | | 3.77 | (1.71 – 8.32) | 2.0 (1.2) | | 8.86 | (3.69 – 21.3) |
| Food security | | 0.013 | | | | 0.003 | | |
| High security | 1.8 (1.5) | | Ref | | 1.4 (0.9) | | Ref | |
| Low security | 2.1 (1.4) | | 1.17 | (0.51 – 2.69) | 1.5 (0.9) | | 0.72 | (0.27 – 1.95) |
| Very low security | 2.4 (1.9) | | 0.77 | (0.28 – 2.08) | 2.0 (1.3) | | 0.79 | (0.27 – 2.35) |
| Indigenous identity score | | <0.001 | | | | 0.002 | | |
| First tertile (15-33) | 1.3 (1.3) | | Ref | | 1.2 (1.0) | | Ref | |
| Second tertile (34-39) | 1.9 (1.3) | | 1.25 | (0.55 – 2.86) | 1.5 (0.8) | | 1.02 | (0.36 – 2.85) |
| Third tertile (40-49) | 2.3 (1.1) | | 2.13 | (0.88 – 5.18) | 1.7 (1.0) | | 1.47 | (0.52 – 4.20) |
| Cultural activity participation (per year) | | <0.001 | | | | <0.001 | | |
| None | 1.3 (1.2) | | Ref | | 1.1 (0.6) | | Ref | |
| One | 1.3 (1.2) | | 1.25 | (0.39 – 3.99) | 1.1 (0.9) | | 2.51 | (0.57 – 11.0) |
| Two | 1.9 (1.4) | | 2.09 | (0.67 – 6.48) | 1.5 (0.9) | | 2.98 | (0.69 – 12.8) |
| Three or more | 2.3 (1.1) | | 6.20 | (1.96 – 19.6) | 1.8 (0.9) | | 4.13 | (0.99 – 17.2) |
| Spiritual activity participation (per year) | | 0.003 | | | | 0.02 | | |
| None | 1.8 (1.2) | | Ref | | 1.4 (0.9) | | Ref | |
| One | 1.6 (1.6) | | 0.31 | (0.11 – 0.89) | 1.4 (0.9) | | 0.66 | (0.19 – 2.24) |
| Two | 2.5 (1.8) | | 0.95 | (0.33 – 2.72) | 1.8 (1.0) | | 1.91 | (0.59 – 6.17) |
| Three or more | 2.2 (1.1) | | 0.75 | (0.26 – 2.22) | 1.7 (0.9) | | 0.90 | (0.25 – 3.21) |

¹*p*-value for the Wilcoxon Rank Sum Test for categorical variables with two levels and the Kruskal-Wallis Test for variables with three or more levels. ²Boldface indicates statistical significance, *p*<0.05. ³Household income was classified using poverty level (FPL) guidelines.

In the multivariable analysis, Indigenous ancestry, depression, and engagement in cultural activities were significantly associated with HLS scores, after controlling for all other variables with significant associations in the bivariate analysis. The odds of reporting a HLS score >2 were more than two times greater for Indigenous persons from the United States or Canada compared to Indigenous adults from Latin America (Odds Ratio [OR] = 2.88; 95% Confidence Interval [CI]: 1.71, 8.32). The odds of reporting an HLS score >2 were almost four times greater for participants endorsing symptoms consistent with high risk of depression compared to participants with low depression risk (OR = 3.77, 95% CI: 1.71, 8.32) and more than six times greater for participants who engaged in cultural activities three or more times during the last year compared to participants who did not participate in any cultural activities (OR = 6.20, 95% CI: 1.96, 19.6).

Similarly, the odds of reporting a HLAS score >2 were over three times greater for Indigenous persons from the United States or Canada compared to Indigenous adults from Latin America (OR = 3.82; 95% CI: 1.43, 12.9), and more than eight times greater in participants endorsing symptoms consistent with high risk of depression compared to participants with low depression risk (OR = 8.86, 95% CI: 3.69, 21.3).

DISCUSSION

To our knowledge, this is the first study to describe historical losses and their associated symptoms in an urban population of Indigenous adults at risk for diabetes. Nearly half (49%) of participants with Indigenous ancestry from the United States or Canada and a third (32%) of participants with Indigenous ancestry from Latin America thought about one or more historical losses at least weekly. In addition, 62% of all participants experienced at least one symptom associated with historical losses sometimes, often, or always. These findings illustrate that historical trauma, as measured by the HLS and HLAS scales, was frequently experienced in this sample of Indigenous adults living in an urban area.

In 2004, Whitbeck et al. reported HLS and HLAS data for 143 Indigenous adults recruited from two reservations in the American Midwest. Weekly or more often, over half of these adults thought about various losses, and some items from the HLAS were endorsed by over 60% of respondents. Indigenous adults in our sample, recruited from an urban area, experienced similar historical losses and associated symptoms as their reservation-based counterparts but at slightly lower levels. It is possible that individuals residing in tribal reservations face additional stressors such as deep poverty, unemployment, and other socioeconomic barriers (Krogstad, 2014), which may exacerbate symptoms associated with historical trauma.

The literature comparing historical trauma and associated symptoms among Indigenous groups with diverse ancestry is scarce (Brave Heart et al., 2011). Findings from the present study further the extant literature on differences in experiences and magnitude of historical loss and trauma by ancestry. We found that a higher proportion of participants with Indigenous ancestry from the United States or Canada endorsed thinking about one or more historical losses weekly, daily, or several times a day, compared to their counterparts with Latin American ancestry. This was also shown in our multivariate analysis where participants who were Indigenous to the United States or Canada had higher scores in the HLS and HLAS, even after controlling for other covariates. Observed differences may reflect group differences in historical experiences with

colonization, assimilation, and acculturation. Such differences may reflect the importance of place in contextualizing historical trauma, in particularly histories and experiences of displacement and land loss (Walters, Beltran et al., 2011). Indigenous participants of Latin American descent could also be experiencing other, and perhaps more, salient discrimination events related to assumptions of foreign-born status and current anti-immigrant sentiment across the country. Given the diversity of Indigenous populations, it may be important to consider ancestry differences in HLS and HLAS when designing and delivering interventions in this context.

The finding that participants who more frequently engaged in cultural practices may be particularly vulnerable to experiences of historical trauma is a complex finding requiring further exploration. It is important to emphasize that, in general, the literature supports a buffering effect of engagement in traditional cultural and spiritual practices in combating negative impacts of environmental insults and are generally recommended for diabetes programs (Brave Heart et al., 2011; Mitchell, 2012; Shaw et al., 2013). It is possible that participation in cultural and religious practices may have increased awareness of historical trauma and its sequelae. This experience may result in an increased need for support and opportunities to process. Interventions could provide support and opportunities to heal and develop culturally congruent and appropriate coping or cultural revitalization strategies. This may be particularly relevant for urban communities that may lack or have more intermittent cultural sources of support and racial socialization available to them. It is also possible that the positive association of participation in cultural activities and historical losses does not account for other important variables. Prior research has shown that stronger ethnic identification and less comfort in mainstream society were associated with increased thoughts of historical loss among AI college students (Tucker et al., 2016). Additionally, systematic reviews have identified engagement in traditional Indigenous ways of life to be associated with lower adherence to self-management recommendations, in part due to mistrust in providers (Scarton & de Groot, 2016). These studies point to the potential role of additional variables—such as discrimination and mistrust—in explaining findings. Thus, findings not accounting for these additional variables should be interpreted with caution.

Positive bivariate associations observed in this study between depression and food insecurity with HLS and HLAS scores may elucidate how historical trauma could compromise diabetes prevention efforts, in particular by negatively influencing health behaviors. For example, previous studies have shown that depression and food insecurity are negatively associated with physical activity (Cueva et al., 2020; Delahanty et al., 2006; To et al., 2014), positively associated

with obesity (Luppino et al., 2010; Pan et al., 2012), and independently associated with an increased risk of diabetes (Kahl et al., 2015; Gucciardi et al., 2014). Thus, incorporating interventions that address depression and food insecurity may be important adjunctive strategies for successful diabetes prevention in the context of historical trauma. Indeed, food is integrally connected to culture and traditional knowledge and practices (Alonso et al., 2019; DeBruyn et al., 2020; Satterfield et al., 2016). Discussions around traditional foods have been implemented as a way of discussing culture and history and promoting conversations around health and diabetes prevention in Indigenous communities (DeBruyn et al., 2020). These conversations and activities involve growing and preparing foods, storytelling, and talking circles. Moreover, the food sovereignty movement has received recent attention, particularly among tribes (DeBruyn et al., 2020), as a way to restore the local food environment, while incorporating elders and intergenerational knowledge sharing. An example of this traditional food movement can be found in Phoenix, AZ, where an urban garden ties sustainable food access with education, capacity building programs, and storytelling to connect food with health and well-being for urban Indigenous communities (Wesner, 2015).

Other urban Indigenous programs are also using holistic approaches to improve diabetes prevention and control. The Sogorea Te' Land Trust program¹ in Northern California incorporates food access and nutrition activities (urban gardens, cooking of culturally appropriate recipes, food distribution), mental health (activities aimed at increasing socialization and involving elders as role models), language revitalization programs, spirituality (via ceremonies), and promotion of physical activity (in part via working in the land). Other aspects involve leadership opportunities, creating a space to share and return to, and conducting advocacy around land rights and historical trauma. Additional research is needed to assess the efficacy of these types of programs as a different strategy for addressing diabetes and other chronic diseases in Indigenous communities.

Despite these promising efforts, a few limitations exist. First, the majority of research has been conducted in tribal communities (Alonso et al., 2019; DeBruyn et al., 2020; Satterfield et al., 2014), highlighting the need for similar programs in urban areas. Second, while traditional components of DPP interventions involve discussion and content around healthy eating and nutrition, our findings suggest a need to address food security as well. For example, programs adapted for urban medically underserved communities, including Indigenous ones, concentrate on promoting healthy food choices (Benyshek et al., 2013; Seidel et al., 2008); however, it is less

¹ <https://sogoreate-landtrust.org>

evident whether they discuss or address potentially underlying food insecurity. In fact, scholars have pointed to a lack of efforts to address underlying socioeconomic factors (including food insecurity, poverty) that can facilitate long lasting and systemic prevention of diabetes, obesity, and other comorbid conditions (Spencer et al., 2016). Finally, while DPP interventions include discussions around stress management and problem solving, discussions around depression or historical trauma associated symptoms (e.g., anxiety) are not typically included. Storytelling and talking circles appear to be the most common strategies used to incorporate historical trauma and incorporate cultural and traditional values into Indigenous diabetes programs (Rosas et al., 2016; Satterfield et al., 2014).

Research and Clinical Implications

A dearth of empirical research exists addressing the health of urban Indigenous communities in the context of diabetes and historical trauma. While limited research and interventions exist for urban Indigenous populations, some studies have successfully implemented culturally congruent strategies including talking circles, storytelling, and photovoice to engage Indigenous participants in a DDP (Rosas et al., 2016). Additional research providing data for different groups (e.g., urban vs. tribal communities, different ancestry groups), exploring nuances in cultural and demographic characteristics and their impact on chronic conditions, as well as exploring potential interventions is crucial.

Clinically, our results can increase awareness among primary care providers and other health care professionals of the prevalence of historical loss and associated symptoms among Indigenous adults at risk for diabetes. Clinical interventions such as the Historical Trauma and Unresolved Grief Intervention (Brave Heart, 1998) could potentially be integrated with diabetes prevention. The positive association observed between depression and historical loss and associated symptoms underscores the importance of integrating support for mental wellness. As such, culturally centered depression interventions may be important for effective diabetes prevention. Primary-care based brief low-intensity depression interventions have been shown to be effective with diverse populations (Lopez-Montoyo et al., 2019). Additionally, incorporating traditional medicine could be a potential strategy for ameliorating the impacts of trauma (Marsh et al., 2016) and positively influence health (Mainguy et al., 2013).

Given the complexity of historical trauma and its associated symptoms, which can include symptoms of anxiety, depression, substance use, and post-traumatic stress (Sotero, 2006), holistic

approaches to addressing mental health are needed. Moreover, providers must be educated on the multiple ways in which historical trauma can be experienced by current generations. For example, via experiences as children (e.g., exposure to relatives who were direct victims of trauma and subjugation), behavioral and social problems in the community (e.g., suicides, substance use), collective memory and oral traditions (e.g., accounts of trauma shared via storytelling), and through direct experiences of ongoing trauma and marginalization (e.g., deep poverty and deprivation, discrimination, social inequities) over the individuals' lifetime (Sotero, 2006). This complexity also calls for new paradigms and programs (Duran et al., 1998; 2019) and the need to connect the past with the present in the case conceptualization and in treatment planning.

Study Limitations and Future Directions

The present study has limitations to consider. First, experiences of historical loss and trauma captured in this study may not be generalizable to Indigenous populations living on reservations or in other urban areas. In addition, the cross-sectional design of this study limits the ability to ascertain causal relationships between historical loss and trauma and participant characteristics. Future studies using longitudinal designs should examine direction of associations and test whether intervening in certain psycho-social variables (e.g., depression) can reduce the endorsement and impacts of historical trauma-associated symptoms. Longitudinal research is also needed for understanding complex relationships between historical losses, associated symptoms, and health behaviors. Moreover, we employed HLS and HLAS as our primary measures of historical trauma, which conceptualize a complex phenomenon mostly within the spectrum of emotional distress. Although we adapted some of the content for our population, it is possible that other measures might be better able to capture specific stressors faced by urban Indigenous populations. Additionally, it is possible that participants and community members may have different conceptualizations of and reactions to historical trauma compared to those measures by Whitbeck and others. As the empirical literature surrounding historical trauma continues to grow, psychometric refinement of measures and population specific data is needed. We hope our data contributes to this effort and can be used by future metanalysis or other cross-study synthesis efforts. Finally, future studies should consider other factors that also contribute to diabetes and other chronic conditions. For instance, exposure to pollutants (e.g., polychlorinated biphenyls, pesticides) has been associated with diabetes and obesity in Indigenous communities (Aminov &

Carpenter, 2020; Codru et al., 2007). Thus, future studies should assess key environmental and social factors that can further illuminate risk and areas for additional intervention.

The results of this study suggest that historical trauma and associated symptoms are prevalent among urban Indigenous adults at risk for diabetes, particularly Indigenous adults from the United States and Canada. Strategies that address these challenges may improve engagement and success in prevention programs for Indigenous individuals in urban areas. Additionally, observed associations between HLS and HLAS with frequent participation in cultural activities deserves more attention to understand how this cultural asset could be leveraged to improve diabetes prevention for this population.

CONCLUSION

The prevalence of diabetes in the United States calls for reinvigorated efforts to attenuate this public health crisis. The results of this study highlight the needs of urban Indigenous adults, which are distinct from the significant public health efforts needed on U.S. reservations. These findings suggest that as preventive efforts serving Indigenous adults expand in urban environments, behavioral interventions must incorporate strategies that address community-identified barriers to success. For our community partner and in this study, historical trauma was identified as a challenge that manifested as comorbid depression and low food security, which could decrease the likelihood of successful diabetes prevention efforts. Identifying and addressing the unique challenges specific to distinct communities and unique to urban settings has the greatest potential for successful program implementation and diabetes prevention.

List of Abbreviations

AI/AN: American Indian, Alaska Native; DPP: Diabetes Prevention Program; HLS: Historical Loss Scale; HLAS: Historical Loss Associated Symptom Scale.

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CONFLICT OF INTEREST

The authors declare that they have no conflicts of interest.

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APPENDIX

Table A1
Frequencies of historical losses among Indigenous persons from the US and Canada (n = 126)

| | Never, Yearly, Only special times (%) | Monthly (%) | Weekly, Daily, Several times a day (%) |
|--|---|-------------|--|
| The loss of our land | 66.7 | 13.3 | 20.0 |
| The loss of our Indigenous language | 67.5 | 15.5 | 17.0 |
| Losing our traditional spiritual ways | 59.4 | 19.5 | 21.1 |
| The loss of our family ties because of boarding or residential schools | 73.0 | 13.9 | 13.1 |
| The loss of families from the reservation to government relocation | 76.9 | 10.7 | 12.4 |
| The loss of self-respect from poor treatment by government officials | 71.5 | 11.4 | 17.1 |
| The loss of trust in whites from broken treaties | 68.6 | 12.4 | 19.0 |
| Losing our culture | 60.7 | 15.5 | 23.8 |
| The losses from the effects of alcoholism on our people | 53.7 | 17.1 | 29.2 |
| The losses from the effects of drugs on our people | 54.6 | 14.0 | 31.4 |
| Loss of respect by our children and grandchildren for elders | 54.0 | 17.0 | 29.0 |
| Loss of our Indigenous people through early death | 62.3 | 15.6 | 22.1 |
| Loss of respect by our children for traditional ways | 58.2 | 18.8 | 23.0 |

Table A2
Frequencies of Historical Losses Among Indigenous Persons from Mexico and Central and South America
(n = 67)

| | Never, Yearly, Only special times (%) | Monthly (%) | Weekly, Daily, Several times a day (%) |
|--|---|----------------|--|
| The loss of our land due to the Spanish conquest or colonization | 79.5 | 6.9 | 13.7 |
| The loss of our land due to immigration or migration to the US | 78.7 | 9.3 | 12.0 |
| The loss of our land due to being a refugee | 91.7 | 5.6 | 2.8 |
| The loss of our Indigenous language due to the Spanish conquest or colonization | 82.2 | 11.0 | 6.8 |
| The loss of our Indigenous language due to immigration or migration to the US | 83.6 | 6.9 | 9.6 |
| The loss of our Indigenous language due to being a refugee | 94.3 | 4.3 | 1.4 |
| Losing our traditional spiritual ways due to the Spanish conquest or colonization | 82.4 | 9.5 | 8.1 |
| Losing our traditional spiritual ways due to immigration or migration to the US | 87.8 | 6.8 | 5.4 |
| Losing our traditional spiritual ways due to being a refugee | 92.9 | 5.7 | 1.4 |
| The loss of our family ties because of boarding or residential schools | 87.3 | 7.0 | 5.6 |
| The loss of families from the reservation to government relocation | 91.4 | 5.7 | 2.9 |
| The loss of self-respect from poor treatment by government officials due to the Spanish conquest or colonization | 86.0 | 7.0 | 7.0 |
| The loss of self-respect from poor treatment by government officials due to immigration or migration to the US | 88.7 | 7.0 | 4.2 |
| The loss of self-respect from poor treatment by government officials due to being a refugee | 92.9 | 5.7 | 1.4 |
| The loss of trust in whites from broken treaties | 86.3 | 11.0 | 2.7 |
| Losing our culture | 70.7 | 18.7 | 10.7 |
| The losses from the effects of alcoholism on our people | 78.4 | 9.5 | 12.2 |
| The losses from the effects of drugs on our people | 77.0 | 10.8 | 12.2 |
| Loss of respect by our children and grandchildren for elders | 60.5 | 19.7 | 19.7 |
| Loss of our Indigenous people through early death | 73.7 | 14.5 | 11.8 |
| Loss of respect by our children for traditional ways | 69.3 | 12.0 | 18.7 |

Table A3
Frequencies of emotional responses to historical losses (n = 205)¹

| | Never (%) | Seldom (%) | Sometimes (%) | Often (%) | Always (%) |
|---|--------------|---------------|------------------|--------------|---------------|
| How often do you feel sadness or depression? | 31.9 | 22.9 | 34.6 | 7.9 | 2.7 |
| How often do you feel anger? | 37.6 | 24.3 | 26.9 | 7.9 | 3.2 |
| How often do you feel like you are remembering these losses when you don't want to? | 44.1 | 25.8 | 20.9 | 4.3 | 4.8 |
| How often do you feel anxiety or nervousness? | 54.7 | 16.3 | 23.2 | 3.7 | 2.1 |
| How often do you feel uncomfortable around white people when you think about these losses? | 56.6 | 20.6 | 15.3 | 4.8 | 2.7 |
| How often do you feel shame when you think of these losses? | 66.7 | 15.9 | 10.6 | 4.8 | 2.1 |
| How often do you feel a sense of weakness or helplessness? | 58.7 | 14.8 | 19.6 | 4.2 | 2.7 |
| How often do you feel a loss of concentration? | 64.6 | 17.5 | 12.2 | 5.3 | 0.5 |
| How often do you have bad dreams or nightmares? | 66.7 | 17.5 | 12.7 | 3.2 | 0.0 |
| How often do you feel isolated or distant from other people when you think of these losses? | 62.8 | 16.5 | 14.4 | 3.7 | 2.7 |
| How often do you have a loss of sleep? | 62.6 | 18.4 | 14.2 | 4.2 | 0.5 |
| How often do you feel the need to drink or take drugs when you think of these losses? | 87.4 | 8.4 | 3.1 | 1.1 | 0.0 |
| How often do you feel rage? | 78.0 | 11.0 | 8.4 | 2.6 | 0.0 |
| How often do you feel fearful or distrustful of the intentions of white people? | 62.9 | 13.8 | 16.9 | 3.7 | 2.7 |
| How often do you feel there is no point in thinking about the future? | 74.7 | 14.7 | 7.4 | 1.6 | 1.6 |
| How often do you feel like it is happening again? | 63.5 | 15.3 | 17.5 | 2.7 | 1.1 |
| How often do you feel like avoiding places or people that remind you of these losses? | 69.8 | 13.8 | 7.9 | 5.8 | 2.7 |

¹ Some participants completed this questionnaire, but not the HLS scale reported in Tables A1 and A2. Both Indigenous participants to the US or Canada or to Mexico, South, or Central America completed the same HLS scale reported here.